



HPL Electric & Power Limited

CIN : L74899DL1992PLC048945

Corporate Office : Windsor Business Park, B-1D, Sector-10,
Noida - 201301 (U.P.) | Tel.: +91-120-4656300 | Fax. +91-120-4656333

E-mail : hpl@hplindia.com | website: www.hplindia.com

24th May, 2022

The Manager,
Listing Department,
National Stock Exchange of India Ltd.
“Exchange Plaza”, C-1, Block G,
Bandra-Kurla Complex, Bandra (E),
Mumbai – 400 051
Symbol: HPL

BSE Limited
25th Floor, New Trading Ring, Rotunda
Building, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001
Scrip Code: 540136

Sub: Secretarial Compliance Report for the year ended 31st March, 2022

Dear Sir,

In terms of the SEBI Circular CIR/CFD/CMD1/27/2019 dated 8th February, 2019, kindly find enclosed herewith the Secretarial Compliance Report for the year ended 31st March, 2022 issued by Mr. Vinod Kumar Gupta, Partner, AVA Associates, Company Secretaries (C.P. No.2148).

We request you to kindly take the same on record.

Thanking You

Yours Faithfully
For HPL ELECTRIC & POWER LIMITED

Vivek Kumar
Company Secretary

Encl: As above

Secretarial Compliance Report of HPL Electric & Power Limited
(having CIN L74899DL1992PLC048945) for the financial year ended 31st March, 2022
(Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015)

We have examined:

- a) all the documents and records made available to us and explanation provided by HPL Electric & Power Limited (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended March 31, 2022 (“Review Period”) in respect of compliance with the provisions of :
 1. the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 2. the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and includes circulars/guidelines issued thereunder; and based on the above examination,

AVA Associates
Office : 107, C-240, Pandav Nagar, Delhi-110092
ph. 09312230937
vinod.gupta.k@gmail.com



We hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations circulars guidelines including specific clause)	Deviations	Observations Remarks of the Practicing Company Secretary
NIL			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of Action taken E.g. fines, warning letter, debarment, etc	Observations remarks of the Practicing Company Secretary, if any.
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the reports	Observations made in the secretarial compliance report for the year:	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

1	<p>Due to the Covid-19 pandemic, there was delay in receiving the confirmation of availability of some of the directors of the company and therefore the board meeting dated 12th January, 2021 was held at shorter notice. Consequently, the company delayed in intimating to NSE and BSE.</p>	NA	<p>The company has deposited the Penalty as imposed by the NSE and BSE both separately. Now the company is regular and timely intimating the NSE & BSE regarding its Board Meeting as per the applicable provision.</p>	Satisfied
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For **AVA Associates**
Company Secretaries



CS Vinod Kumar Gupta
Partner

FCS: 3648

CP: 2148

UDIN F003648D000375129

Place: Delhi

Date: 24th May, 2022

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